



सत्यमेव जयते

**PROCEDURE
FOR
SECURITY CERTIFICATION
OF
TELECOMMUNICATION EQUIPMENT**

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Procedure for Security Certification of Telecommunication Equipment

1. INTRODUCTION

1.1 The Indian Telegraph Rules, 1951, PART XI, Testing & Certification of Telegraph, (Rule 528 to 537) provides that every Telecom equipment must undergo prior mandatory testing and certification.

1.2 In this context, Department of Telecommunications has come out with a “Communication Security Certification Scheme” vide document No. NCCS/ComSec/01/30032020 for Security Certification of Telecom equipment.

1.3 This subordinate document gives the detailed procedure for security testing and certification of Telecom equipment.

1.4 Any Original Equipment Manufacturer (OEM)/importer/dealer/Service Providers who wishes to sell or import, or use any Telecom equipment in India, shall have to obtain Security Certificate from National Centre for Communication Security (NCCS).

1.5 Certification process endeavors to ensure that Telecom equipment complies with the essential country specific Telecom security standards and requirements namely the Indian Telecom Security Assurance Requirements (ITSAR).

2. DEFINITIONS

2.1 All the definitions in the “Communication Security Certification Scheme” document shall be applicable for this document.

2.2 ‘**Authorized Indian representative (AIR)**’ means a company or firm incorporated in India, which, in case of imported equipment, has been duly authorized by Foreign OEM to carry out all obligations required under MTCTE in respect of the imported equipment.

2.3 ‘**BoM**’ means Bill of Material, and is a file containing details of all major modules/components of the model being offered for testing. In case of application for certification of multiple models, the BoM shall include such details of all models.

3. SCOPE OF CERTIFICATION

3.1 The scope of Security certification would cover all types of Telecom equipment to be sold in India or to be connected to Indian Telecom network for which ITSAR is available and in force.

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3.2 The effective dates for certification becoming mandatory for different Telecom equipment shall be notified by the Government separately.

3.3 The use of certified equipment, unless specifically exempted, shall be governed by extant guidelines and rules.

3.4 If the equipment is being imported for Research and Development or for demonstration purpose in India or as a sample for mandatory testing, prior security certification may be exempted for limited numbers of equipment.

3.5 Any uncertified equipment, which is not prohibited in India by any law, personally accompanied on inward foreign travel to India for personal use, may be exempted from mandatory testing and certification on self-declaration.

3.6 Security Testing under this scheme shall be done only in designated TSTLs. List of Designated TSTLs and their scope of testing are available in MTCTE portal

4. GENERAL

4.1 Any OEM/importer/dealer/user of Telecom equipment must first ensure that the model of equipment he intends to sell or use is certified under this Scheme.

4.2 Certification needs to be obtained only once for one 'model' of equipment, and is applicable for any quantity of the certified model of the equipment. A different model of the equipment needs separate certification.

4.3 The model with full configuration of hardware, interfaces and software is called the Main model. Associated models for the purpose of Security certification are those models which have identical software but having hardware which is a subset of the main model. Associated models of the telecom equipment shall be certified without testing.

4.4 Only complete-in-itself, standalone, independent equipment are tested and certified under the scheme. Equipment modules/ components are not covered by the scheme. Further, combinations of independent equipment made to form systems are not certified under this scheme; instead, each independent equipment would need separate certification.

4.5 The Certificate shall be valid for five years from the date of issue.

4.6 NCCS may suspend/cancel the certificate, if it comes to the knowledge of NCCS of any violation of the extant guidelines and rules.

4.7 NCCS may issue such directions to OEMs/importers/dealers/users consistent with the Act, Rule or this procedure, as may be necessary, for smooth functioning of certification process.

4.8 The security certification procedures, which are detailed in this document, are subject to revision from time to time.

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5. CERTIFICATION PROCESS

5.1 Security Certification process broadly consists of two parts; firstly, testing against applicable ITSAR, and secondly evaluation of test results for ensuring conformance with these requirements. If equipment is found compliant with all applicable ITSAR, it will be certified to that effect.

5.2 Any applicant seeking certification under this scheme may apply online on MTCTE portal (<https://www.mtcte.tec.gov.in>). The applicant may provide relevant documents like (i) Company Registration (ii) Letter issued by company authorizing him for related responsibilities. Additionally, in case of foreign OEMs, the applicant from Indian company shall provide documents in support of (iii) MoU between foreign OEM and Indian representative (AIR) for sale and support of the product in India, and (iv) authorizing the AIR for MTCTE related responsibilities.

5.3 The documents shall be scrutinized and any shortcoming in documents shall be intimated to the applicant. After rectification of shortcomings, applicant's registration shall be approved, after which he may submit application for testing/ certification.

5.4 Applicant shall select product to be certified, its variant details, available interfaces and associated models' information, if applicable, and shall upload Bill of Materials (BoM) file on the portal. BoM for the purpose of security certification shall include Software version of the Operating System, Database, Cryptography module and any other third party/proprietary software used in the equipment apart from other hardware details. After submission of his application the applicable ITSAR and the fee to be paid will be intimated/shown to the Applicant.

5.5 After payment of applicable fee, the applicant has to get his equipment tested against applicable ITSAR from any of the designated TSTL. TSTL is required to complete testing within a period of 16 weeks which includes any time required by the applicant to make good any non-compliance brought out during the testing.

5.6 NCCS may appoint a validator for technical oversight of the testing carried out in the TSTL.

5.7 After completion of testing the TSTL shall upload the Test reports along with signature of the tested equipment. Hard copy of the comprehensive test reports shall also be made over to NCCS unit. The Test reports shall be evaluated for compliance against applicable ITSAR by NCCS.

5.8 If equipment is found compliant with applicable ITSAR(s), a Certificate shall be issued to the applicant, for the specific model of equipment.

5.9 The certificate will normally be issued within 4-8 weeks from the date of submission of complete test results, depending upon complexity of equipment.

5.10 If ITSAR is amended and a new version of the ITSAR released, it will be applicable from a prospective date indicated in the new version of ITSAR. Until that time, existing ITSAR will be applicable.

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5.11 Telecommunication Engineering Centre (TEC) or any other entity specified may be contacted for clarifications pertaining to application process.

6. FEES PAYABLE

6.1 The Fees charged under the Scheme will consist of Security Test Report Evaluation Fees given below. This shall be payable over and above the fee prescribed by TEC as per Schedule of fees given in the latest version TEC MTCTE document "Testing and Certification Procedure":

Group of equipment	Security Test Report Evaluation Fee ₹
A and B	2,00,000
C	2,50,000
D	3,50,000

6.2 The Security Test Report Evaluation Fee given above shall also be applicable for Certification modification involving security testing.

6.3 Renewal Fee is applicable, if application for renewal of certificate is made, and no testing or report evaluation is involved. The amount of this fee is same as Administrative fee, for the respective product group.

6.4 Fee for issue of temporary certificate will be same as renewal fee as prescribed under para 6.3.

6.4 Fees for contravention will be levied as prescribed in the Indian Telegraph (Amendment) Rules, 2017.

6.5 Testing Fee: Fees charged by TSTLs for conducting security testing shall be payable directly to the TSTL without involvement of MTCTE portal.

6.6 All fees are non-refundable.

6.7 The fees are to be deposited during the application process on MTCTE portal. During processing, the MTCTE portal will lead the user to the Non-Tax Revenue Portal (NTRP) for online payment.

7. CERTIFICATE MODIFICATION

7.1 In the event of Software patch/ bug fix/ update, the certificate holder is responsible for ascertaining the compliance of certified equipment, including deployed equipment, with ITSAR

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and apply for certificate modification. Whenever a patch/ bug fix/ update is released by OEM, it may be permitted to be deployed with a temporary certificate. OEM will submit the changed signature along with all the internal test reports demonstrating to compliance to all security requirements of applicable ITSAR along with an undertaking given in the Annexure. The modified signature of the said model will be incorporated in a temporary certificate with a validity period of upto one year from the date of release or for balance period of initial certificate whichever is earlier. Temporary certificate will be issued within 7 working days of Application normally. Any subsequent request for modification of temporary certificate will be allowed with a validity for balance period of that certificate.

7.2 In the event of Software patch/ bug fix/ update affecting any Security Functionality, recertification will be necessary subject to clause 7.3.

7.3 Modifications that can be differentiated as incremental change shall be permitted to undergo incremental testing.

7.4 Any modification in the certified product without a valid certificate shall amount to use of uncertified equipment and shall be dealt accordingly.

8. RENEWAL

8.1 For renewal, a Certificate holder must apply online and pay the renewal fee at least one month prior to expiry of the current certificate's validity period.

8.2 A certificate shall be renewed only if there is no change in the ITSAR applicable to the equipment, and there is no change in the equipment model.

8.3 After evaluation of the renewal application, a fresh certificate valid for another five years shall be issued, indicating the previous certificate number thereon.

9. REVISION OF ITSAR

9.1 Technological developments, Country specific requirements, changes in international standards or other regulatory requirements may entail revision of ITSAR.

9.2 A new version of ITSAR will generally be issued along with a prospective date of effect indicated thereon.

9.3 The revision of ITSAR shall not generally affect the validity of certificate of already certified Telecom Equipment till the notified date of effect of new version of the ITSAR after which the equipment is to be certified against the new version of the ITSAR. The new version of ITSAR will indicate, for the equipment certified against the earlier version of ITSAR, whether the

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equipment requires to undergo full or incremental testing for certification against the new version of the ITSAR. Equipment for which applications are received after the notified date of effect of revised ITSAR shall be required to be certified against revised ITSAR. However, Government of India may direct the certificate holders to get specified models re-tested.

10. SURVEILLANCE

10.1 Appropriate Authority (AA) reserves the right to inspect and/ or test any telegraph, which requires mandatory certification at any time and at any premises including sites where it is in use or at the place of manufacturing to ensure that the telegraph used/ sold has required certifications and conforms to the ITSAR of existing certifications. Such inspection and/or testing may be carried out periodically, or at the discretion of Telegraph Authority or due to any complaint.

10.2 NCCS may call for re-testing/ re-evaluation of certified telecom equipment and charge the relevant fee, should the need arise to check on the compliance of the equipment to the ITSARs. These cases may be tested in Security Assurance Standards Facility of NCCS or designated TSTLs as decided by the Scheme Controller on a case-by-case basis.

11. NON-CONFORMITY & CONTRAVENTIONS

11.1 If it comes into the notice of the Telegraph Authority/Appropriate Authority (AA) that,
(i) an uncertified equipment or certified equipment with unauthorized modifications or equipment whose certification has expired is being sold/ used or intended to be sold/ used, or
(ii) a certified equipment is not conforming to the ITSAR for which the certification has been issued
then such non-conformity or contravention shall be dealt with in accordance with Telegraph rules in force.

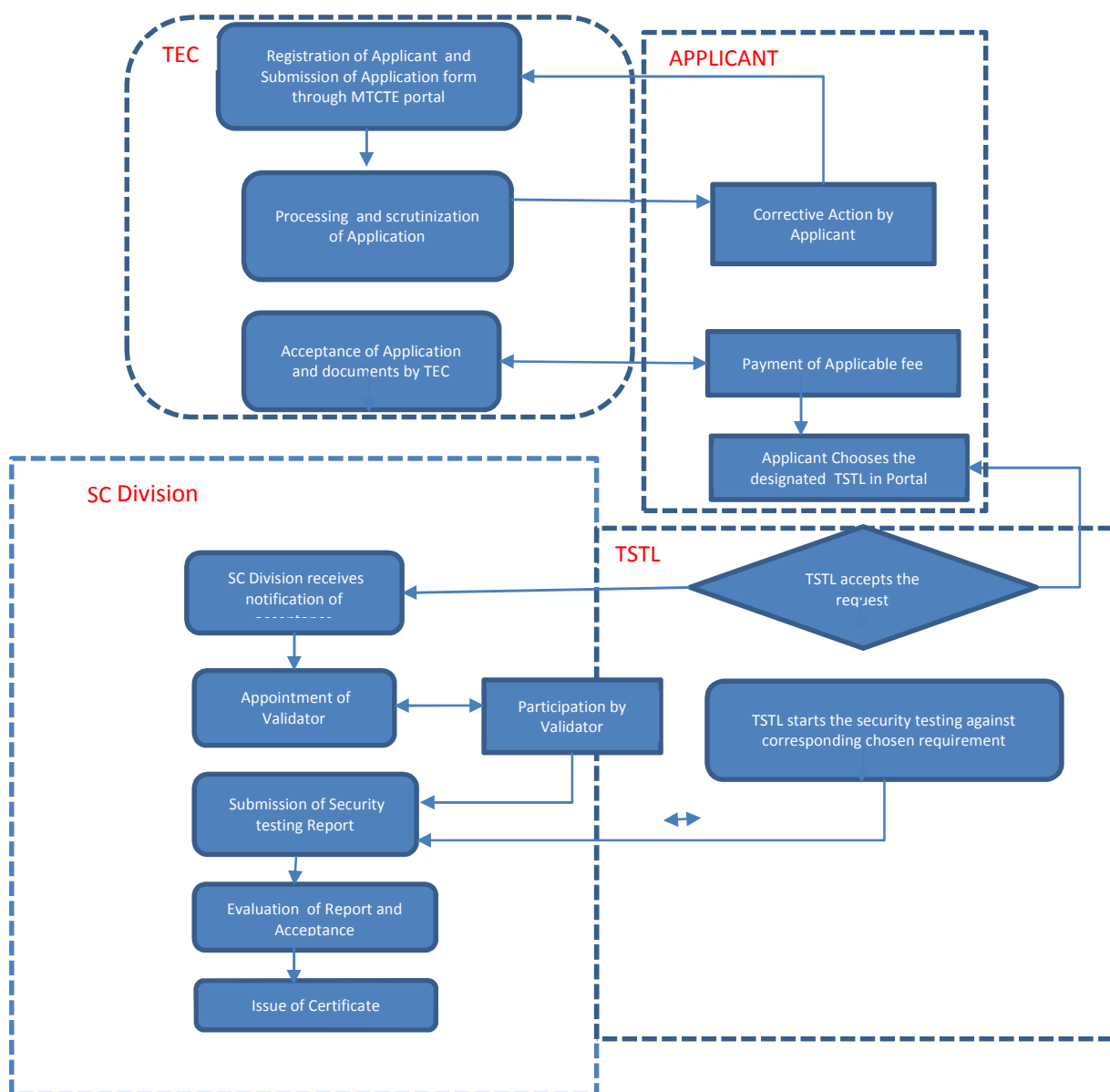
12. APPEAL

12.1 Any dispute regarding the processing of application till the commencement of testing may be addressed to the Appeal Officer, TEC. The Appeal will be disposed off by TEC.

12.2 Any dispute brought forth by an Applicant or a TSTL, concerning the operation of the scheme or any of its associated activities shall be addressed to the Security Certification Division head. If not satisfied with the disposal, the applicant/ TSTL may appeal to the Scheme Controller for resolution. The decision of the Scheme Controller will be final and binding on the concerned parties.

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Flow Chart for Security Certification



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Undertaking/ Declaration

I, Sri, Authorized representative of M/s....., here by undertake and certify that

- a) The Model no (Hardware & Software)was certified on Vide certificate no:
- b) The model No..... Of(type of Equipment) complies with ITSAR no.....after modification and has been tested internally. Original and Modified signature(s) of the equipment are given below.

Original Signatures

- i).....
ii).....

Modified Signatures

- i).....
ii).....

- c) Description of the Modification along with IAD (Impact assessment document) enclosed.

...

- d) This modification affects/ does not affect security features of the equipment.

- e) The internal tests conducted against ITSAR (no of tests:) and their reports (no of pages:) are annexed.

(Signature of the Authorized Representative & Company Seal)

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